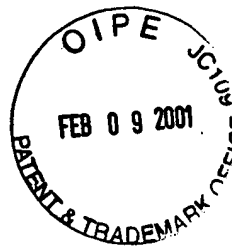


Attorney's Docket No.: 005220.P002



SECTOR  
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dave Parker, et al.

Application No.: 09/703,329

Filed: October 31, 2000

For: Method Of And Apparatus For Network  
Administration

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date  
Granted) mailed January 26, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to  
the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of  
37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;
- (4) a Request to Correct an Error in the Filing Receipt; and
- (5) a copy of the original Filing Receipt.

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail with  
sufficient postage in an envelope addressed to the  
Assistant Commissioner for Patents, Washington, D.C. 20231

on February 5, 2001  
Date of Deposit  
Conny Willesen  
Name of Person Mailing Correspondence  
Conny Willesen 2-5-01  
Signature Date

- 1 -

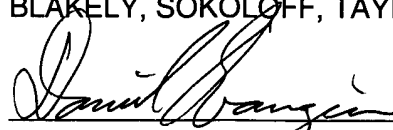
(LJV/cak 10/22/96)

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 2/5, 2001



Daniel E. Ovanezian  
Reg. No. 41,236

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8598

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:

Dave Parker, et al.

Application No. 09/703,329

Filed: October 31, 2000

For: Method Of And Apparatus For  
Network Administration

Examiner: Not Yet Assigned

Art Unit: 2152

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

REQUEST TO CORRECT AN ERROR IN THE FILING RECEIPT

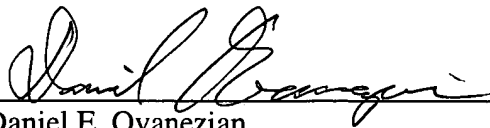
Dear Sir:

The filing receipt for the above-referenced patent application has been received by applicant. The title of the application is incorrect. Please correct the title to read, "METHOD OF AND APPARATUS FOR NETWORK ADMINISTRATION". Please make the appropriate corrections to your records.

A copy of the official Filing Receipt form with the change noted thereon is enclosed for reference. Also enclosed is a copy of the executed Declaration and Power of Attorney form showing the correct title. When the Filing Receipt has been corrected, please forward a copy to the undersigned.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Date: 2/5, 2001

  
Daniel E. Ovanezian  
Reg. No. 41,236

12400 Wilshire Blvd.  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/703,329	10/31/2000	Dave Parker	005220.P002

Blakely Sokoloff Taylor & Zafman LLP  
Daniel E Ovanezian  
12400 Wilshire Boulevard  
7th Floor  
Los Angeles, CA 90025

## FORMALITIES LETTER



\*OC00000005711495\*

Date Mailed: 01/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice MUST be returned with the reply.*

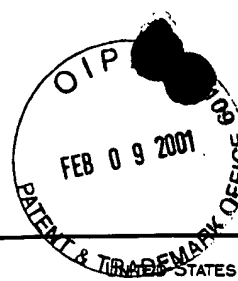
*BP* *T-6*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

DED  
NOC pulseCOMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/703,329	10/31/2000	2152	1176	005220.P002	9	37	5

Blakely Sokoloff Taylor & Zafman LLP  
Daniel E Ovanezian  
12400 Wilshire Boulevard  
7th Floor  
Los Angeles, CA 90025

## FILING RECEIPT



\*OC000000005711494\*

Date Mailed: 01/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Dave Parker, Residence Not Provided;  
David D. Faraldo II, Foster City, CA ;  
Jon Prall, Residence Not Provided;  
Paul Santinelli, Residence Not Provided;  
Teresa Ramanan, Residence Not Provided;  
Lance Peterson, Residence Not Provided;  
Adam Pingel, Residence Not Provided;  
Mike Deibler, Residence Not Provided;

**ENTERED**  
JAN 30 2001  
**STATUS DB-LA**

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 01/26/2001

## Title

Network Administration  
Method of and apparatus for notification of state changes in a monitored system

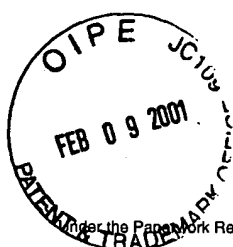
## Preliminary Class

709

02/13/2001 CCHAU1 00000029 09703329 130.00 OP  
01/FC:105 01/26/01

**Date:** 01/26/2001





PTO/SB/17(11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL FOR FY 2001****TOTAL AMOUNT OF PAYMENT (\$)** 130.00**Complete if Known:****Application No.** 09/703,329**Filing Date** October 31, 2000**First Named Inventor** Dave Parker**Group Art Unit** 2152**Examiner Name** To be assigned**Attorney Docket No.** 005220.P002**METHOD OF PAYMENT (check one)**

1. ☒ **The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:**

**Deposit Account Number** 02-2666**Deposit Account Name** \_\_\_\_\_☐ **Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17**☐ **Applicant claims small entity status. See 37 CFR 1.27**

2. ☒ **Payment Enclosed:** ☒ **Check**  
\_\_\_\_\_  
☐ **Credit Card**  
\_\_\_\_\_  
☐ **Money Order**  
\_\_\_\_\_  
☐ **Other**

**FEE CALCULATION****1. BASIC FILING FEE**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>		
101	710	201	355	Utility application filing fee	_____
106	320	206	160	Design application filing fee	_____
107	490	207	245	Plant filing fee	_____
108	710	208	355	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____

**SUBTOTAL (1) \$** 0**2. EXTRA CLAIM FEES**

		<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
<b>Total Claims</b>	_____	- 20** = _____	X 18.00 = _____	
<b>Independent Claims</b>	_____	- 3** = _____	X 80.00 = _____	
<b>Multiple Dependent</b>				

**\*\*Or number previously paid, if greater; For Reissues, see below.**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$** 0

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal publication	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(i)	
091	1,240	091	1,240	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 130.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Daniel E. OvanezianSignature:  Date: 2/5/01Reg. Number: 41,236 Telephone Number: 408-720-8300**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.